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|---|----------------------|-----------------------|------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number   |                       | 09685398   |  |
|   | Filing Date          |                       | 2000-10-10 |  |
|   | First Named Inventor | James Richard Kraemer |            |  |
|   | Art Unit             | 3691                  |            |  |
|   | Examiner Name        | Lalita M Hamilton     |            |  |
| Attorney Docket Number  |                      | RSW920000107US1       |            |  |

| U.S.PATENTS       |         |               |                        |            |   |  |
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| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
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|                                 |         |   |                |  |

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|   |  |                          |
|---|--|--------------------------|
| 1 | RAIMOND MAURER, SHOHREH VALIANI, Hedging the exchange rate risk in international portfolio diversification; Currency forwards versus currency options. Managerial Finance. Pattrington: 2007. Vol. 33 Iss. 9; pg. 667. | <input type="checkbox"/> |
| 2 | Provisional Application No. 60/450,664, filed on 03/03/2003.   | <input type="checkbox"/> |

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**EXAMINER SIGNATURE**

|                    |                                |                 |  |
|--------------------|--------------------------------|-----------------|--|
| Examiner Signature | /Lalita Hamilton/ (11/20/2010) | Date Considered |  |
|--------------------|--------------------------------|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.16. Please see CFR 1.4(d) for the form of the signature.

|            |                  |                     |            |
|------------|------------------|---------------------|------------|
| Signature  | /John Pivnichny/ | Date (YYYY-MM-DD)   | 2010-11-19 |
| Name/Print | John Pivnichny   | Registration Number | 43001      |

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